

VIAC – Questionnaire for Arbitrators

1. Name: Anne K. Hoffmann

2. Citizenship: German

3. Contact information:

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4. Current position: Legal Director

5. Education:

- admitted to practice in Germany (Rechtsanwältin) and England & Wales (Solicitor)
- BPP Law School London, Solicitor Exam (2003)
- State of Berlin, Second State Exam
- University College London (UCL), LL.M. (1998)
- Free University Berlin, First State Exam (1997)

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules?
 - approximately 50 arbitrations under various rules, including
 - ICC
 - ICSID
 - Swiss Rules
 - DIS
 - LCIA
 - DIFC – LCIA
 - DIAC
 - UNCITRAL
 - SCC
 - ad hoc (no rules applicable).
- How often have you acted as Chairman?
 - 3
- How often have you acted as Sole Arbitrator?
 - 6
- How often have you acted as Co-Arbitrator?

- 4

- How often have you acted as Counsel?

- 25

- How often have you acted in a different function (e.g. Administrative Secretary)?

- 15

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

- numerous international speaking engagements

Publications:

- *Duty of Disclosure and Challenge of Arbitrators: The Standard Applicable Under the New IBA Guidelines on Conflicts of Interest and the German Approach*, 21(3) Arb. Int'l 427 (2005);
- *Counterclaims by the Respondent State in Investment Arbitrations - The Award on Jurisdiction over Respondent's Counterclaim in Saluka Investments B.V. v Czech Republic*, 6 SchiedsVZ, 317 (2006)
- Book review on "International Investment, Political Risk and Dispute Resolution: a Practitioner's Guide" by Noah Rubins and Stephan Kinsella, 23(3) Arbitration International, 518 (2007);
- *The investor's right to waive access to protection under a bilateral investment treaty*, 22(1) ICSID Review - Foreign Investment Law Journal, 69 (2007);
- *Indirect Expropriation*, in: Substantive Standards of Investment Protection (ed. A. Reinisch), Oxford University Press (2008);
- *The Relationship between International Tribunals and Domestic Courts* (co-authored with J. van Harsoelte - van Hof), in: The Oxford Handbook of International Investment Law (2008);
- Protection of Investments through Modern Treaty Arbitration - Diversity and Harmonisation, ASA Special Bulletin edited by Anne K. Hoffmann, May 2010;
- *Modern Forms of Expropriation*, in: Protection of Investments through Modern Treaty Arbitration - Diversity and Harmonisation (ed. Anne K. Hoffmann), ASA Special Bulletin, May 2010;
- **PUBLIC POLICY VERSUS PRIVATE INTEREST - HAS BALANCE BEEN MAINTAINED IN INVESTMENT PROTECTIONS IN THE ASIA-PACIFIC REGION?** IN: Investment Treaty Arbitration and International Law - Volume 4, Ian A. Laird, Todd J. Weiler, Nina Mocheva (editors), Juris 2012;
- *Counterclaims in Investment Arbitration*, 28(2) ICSID Review - Foreign Investment Law Journal, 438 (2013);
- *Selection and Appointment of Arbitrators*, in: Arbitration in Switzerland - The Practitioner's Guide (ed. Manuel Arroyo), Kluwer 2013;
- *Denial of Benefits*, in: International Investment Law - A Handbook (ed. M. Bungenberg, J. Griebel, S. Hobe, A. Reinisch), C.H. Beck-Hart-Nomos, 2015;
- *Counterclaims*, in: Building International Investment Law - The First 50 Years of ICSID, (ed. M. Kinnear, G. Fischer, J. Minguez Almeida, L. F. Torres, M. Uran Bidegain), Kluwer, 2016;
- *Middle Eastern Investors as Claimants in Investment Treaty Arbitrations*, in: (2016) 3 BCDR International Arbitration Review, 389, Issue 2

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

- ICC
- LCIA
- IBA
- ASA
- DIS
- Panel of Arbitrators of the Asian International Arbitration Centre
- SIAC Panel of Arbitrators

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English

Also good knowledge of French and Russian.

10. In which legal systems have you trained?

- | | | |
|------------------------------------------------|------------------------------------------------|----------------------------------------|
| <input checked="" type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input checked="" type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law | <input type="checkbox"/> European Law |

Other _____

11. What is your main jurisdiction of practice?

- EMEA

12. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---------------------------------------------------------------------|--------------------------------------------------|-----------------------------------------------------------|-------------------------------------------------------|
| <input type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Power plants |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input checked="" type="checkbox"/> ISDS/ Foreign invest. | <input type="checkbox"/> Real estate |
| <input checked="" type="checkbox"/> CISG | <input type="checkbox"/> Energy | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Commodity market | <input type="checkbox"/> Healthcare & Pharmac. | <input type="checkbox"/> Maritime arbitration | <input type="checkbox"/> Technology |
| <input type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Media | <input checked="" type="checkbox"/> Telecommunication |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input checked="" type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Natural resources | |
| <input type="checkbox"/> Other _____ | | | |

13. Date of birth: 10 October 1973

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

30/08/2018

Date

and

Signature