VIAC – Questionnaire for Arbitrators

- 1. Name: Dr Roland KLÄGER
- 2. Citizenship: German

3. Contact information:

| Address: | HAVER & MAILÄNDER Rechtsanwälte Partnerschaft mbB Lenzhalde 83-85 70192 Stuttgart Germany |
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| Telephone: | +49 (0) 711 22744-87 |
| Fax: | +49 (0) 711 2991935 |
| E-Mail: | rk@haver-mailaender.de |
| Website: | https://www.haver-mailaender.de/en/menschen#8 |

4. Current position: Partner, Attorney at Law (Rechtsanwalt)

5. Education:

| 2002-2007 | University of Freiburg |
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| 2007 | First Legal State Exam and Certificate in European, International and Foreign Law |
| 2007-2010 | Research Assistant, Institute for Public Law (European and Public International Law) University of Freiburg |
| 2009 | Visiting Fellow, Lauterpacht Centre for International Law, University of Cambridge |
| 2010 | Dr. iur. (summa cum laude), University of Tübingen |
| 2010-2012 | Legal Traineeship (Referendariat) in Frankfurt, Hanau and Beijing |
| 2012 | Second Legal State Exam and Admission to the German Bar |

6. Practiced experience in arbitration

• How many arbitrations have you participated in (domestic/international); under which Rules?

More than 50 arbitrations (16 domestic) according to ICC (incl. Emergency Arbitrator Rules), VIAC, DIS (incl. Supplementary Rules for Corporate Disputes), Swiss Rules, UNCITRAL, DIA, AAA, local chambers of commerce, ad hoc (ZPO)

Regular recommendations e.g. by Best Lawyers ("Lawyer of the Year" 2022), JUVE, Who's Who Legal, The Legal 500

- How often have you acted as Chairman? 1
- How often have you acted as Sole Arbitrator? 8
- How often have you acted as Co-Arbitrator? 12
- How often have you acted as Counsel? more than 30
- How often have you acted in a different function (e.g. Administrative Secretary)? 2
- 7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):
 - Regular publications on different arbitration topics, including e.g.:

Comparative Guide on the Impact of Insolvency on International Arbitration (2022); Haftungsrisiko Lieferkette – der EU-Richtlinienvorschlag für Sorgfaltspflichten von Unternehmen in der Wertschöpfungskette, Deutscher AnwaltSpiegel 6/2022, S. 8; Virtual Hearings: Requirements,

Procedure and How to Get the Most out of It, in: ICC Dispute Resolution Bulletin 3/2020, p. 103; Commentary on §§ 964-984 BGB, in: Dannemann/Schulze, German Civil Code (Beck 2020) Das Achmea-Urteil des EuGH als Zäsur für die Investitionsschiedsgerichtsbarkeit in Europa (SchiedsVZ 2018); Fair and Equitable Treatment in International Investment Agreements (Oxford Bibliographies in International Law 2017); Revising Treatment Standards, in: Hindelang/Krajewski, Shifting Paradigms in International Investment Law (OUP 2016); Ein Sieg über (oder für) das System der Sportschiedsgerichtsbarkeit (SchiedsVZ 2015); The Impact of the TTIP on Europe's Investment Arbitration Architecture (Zeitschrift für Deutsches und Amerikanisches Recht 2014); Sovereign Immunity in Recognition and Enforcement Proceedings under German Law (ICSID Review 2014); Die Reform der LCIA-Schiedsregeln: Praxisrelevante Neuerungen im Überblick (Dispute Resolution 2014); 'Fair and Equitable Treatment' in International Investment Law (Cambridge University Press 2011).

Various engagements as speaker, moderator and organizer of arbitration events, including e.g.:

EU Directive on Corporate Sustainability Due Diligence – Emerging Civil Liability for Companies (2022); Human Rights and Investment Rights – Two Sides of the same Coin? (ICC YAAF, 2022); Trends in Arbitration Rule-Making (ICC YAAF, 2021); Intensivierung des internationalen Wettbewerbs der Gerichtsstandorte: Der neue Commercial Court in Stuttgart und Mannheim (British Chamber of Commerce in Germany, 2021); Arbitrator Transparency in Investment Arbitration (ICC YAAF, 2021); The Art of Persuasion: How to Present, Persuade and Prevail (ASA below 40, 2020); Mediating COVID-19 Disputes (ICC YAAF, 2020); Virtual Hearings (ICC YAAF, 2020); Experts Versus Expertise – The Added Value of External Experts over in-house Expertise (ICC YAAF, 2019); The Prague Rules – Einführung und Vergleich mit den IBA Rules on the Taking of Evidence (Stuttgart Arbitration Circle, 2019); Was macht ein Counsel bei der DIS? – Diskussionsbeitrag aus Sicht des Schiedsrichters (DIS40, 2018); Cross Examination Techniques: Dos and Don'ts in Common Law vs. Civil Law Jurisdictions (CEA40/DIS40, 2017); Schiedsverfahren nach den Wiener Regeln (DIS40, 2017); The Role of National Courts during the Arbitration (CFA40/DIS40, 2016); Germany – The New Litigation Wonderland? (ILEX, 2016); Reform der Regeln zur Einleitung des Schiedsverfahrens nach der DIS-SchO (DIS, 2016); Schiedsgerichtsbarkeit in Asien (DIS40, 2016); Implementation of ISDS in TTIP (Berlin 2015).

• Regular teaching assignments in the area of international arbitration.

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

ICC YAAF (Representative for Europe & Russia 2019-2021), DIS40 (Regional Coordinator 2015-2017), DIS, YAAP, YIAG, Young ICCA, Young ICSID, International Law Association, co-founder of International Litigation Exchange (ILEX)

9. Languages

Mother tongue: German

Working languages: German, English and Spanish

10. In which legal systems have you trained?

- ☑ Civil Law ☑ Common Law
- Austrian Law
- ☑ German Law

Czech Law

D Polish Law Slovakian Law

Hungarian Law

- Other: International Law
- 11. What is your main jurisdiction of practice?
 - Germany

- Swiss Law
- Ukrainian Law
- ☑ European Law

12. Special expertise or specializations:

| Antitrust/Unfair competition | \square | Damages | | Insolvency | | Power plants |
|-----------------------------------|--------------|-------------------------|--------------|--------------------------|--------------|------------------------|
| Aviation | \checkmark | Distribution | | Insurance | \checkmark | Private Intern. Law |
| Banking & Finance | | Domain name disputes | | Intellect. property (IP) | V | Public Intern. Law |
| Capital markets | | Employment | \checkmark | ISDS/ Foreign invest. | | Real estate |
| ☑ CISG | \checkmark | Energy | | Joint ventures | | Shipping |
| Civil fraud disputes | \checkmark | Engineering | | Licensing | | Sports |
| ☑ Commercial disp. / transactions | | Entertainment | | Life sciences | | State/Public contracts |
| Commodity market | | Healthcare & Pharmac. | | Maritime arbitration | V | Technology |
| ☑ Company/Corporate/M&A | | Hotel/Gastro./Tour. | | Media | | Telecommunication |
| Construction | | Inform. technology (IT) | | Mining | | Transport |
| Contract law | | Infrastructure | | Natural resources | | |
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Other: Automotive

13. Date of birth: 24 April 1982

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 \square I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

☑ I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at http://www.viac.eu.

7 September 2022 Date